Mitigating conflicts of interest
Improving quality and integrity of benchmarks
Establishing control over benchmark processes
Creating suitable processes
Reshaping cost of compliance

Solutions
New model creation for separate datasets and independently calculate benchmark levels to minimize errors and mitigate risks arising from conflict of interest
Independent-run parallel benchmark calculations
Tools for gaps/errors in methodology/benchmark calculation formulas and create quality documentation
Based on quality analysis, update the methodology/document to match production models and ensure regulatory compliance
Documemt the quality of benchmark methodology
Update benchmark methodology

Business Challenges
Organisation:
Investment banks and index providers
Function:
Benchmark administration teams
Industry:
Financial services
Geography:
Global

Boosting benchmark compliance via regulatory support

Context
Transformation of benchmark operations via a customized service support
Service of automation and technology solutions to improve data quality, reduce calculation errors, and maintain costs under control

Engagement expectation

1. Independently run parallel benchmark calculations
2. Document the quality of benchmark methodology
3. Update benchmark methodology
4. Check for errors and create sanity checks on input data (price, rebalance weights, corporate adjustments, etc.)
5. Create a clear and valuable structured administrative log
6. Create control frameworks
7. Direct assistance to external auditors

Benefits

COMPLIANT
EFFICIENT
AUDITABLE
ACCURATE
COMPETITIVE

Evaluative – portability maximised
Responsive – operationalizing regulatory changes
Predictive – providing early warning of issues
Analytical – improving the effectiveness of internal control processes

For details on how you can streamline your workflow with our basket of firm regulatory solutions, contact us at:
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